Patent Attorney's Docket No
pplicant or Patentee:
pplication or Patent No.:
led or Issued:
or:
DEMARK
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. §§ 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN
hereby declare that I am
the owner of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern identified below:
AME OF CONCERN Office of Technology Transfer
DDRESS OF CONCERNUniversity of Washington, 1107 N.E. 45th Street, Suite 20 Seattle, WA 98105-4631
hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.12, and reproduced in 37 C.F.R. § 1.9(d), for purposes of aying reduced fees under Sections 41(a) and 41(b) of Title 35, United States Code, in that the umber of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the verage, over the previous fiscal year of the concern, of the persons employed on a full-time, partme, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are filliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. Thereby declare that rights under contract or law have been conveyed to and remain with the small usiness concern identified above with regard to the invention entitled Trifunctional reagent for conjugation to a biomolecule y inventor(s) D. Scott WILBUR and Bengt E.B. SANDBERG escribed in
[] the specification filed herewith [X] Application No. PCT/SE98/01345 , filed 7 July 1998 [] Patent No, issued the rights held by the above-identified small business concern are not exclusive, each individual,
oncern, or organization having rights to the invention is listed below,* and no rights to the

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention is listed below, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c), or by any concern that would not qualify as either a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27.)

,	•				n Serial No Docket No		
NAMEM	itra Medical Te	chnology AB		-			
	Ideon Research	Park, 223 70	D LUND, SWE	DEN			
ADDITEGO:	Ideon Research	[X] small busi	ness concern	[] nonpr	ofit organizat	ion	
NAME							·
ADDRESS							
ADDITEGO	[] individual	[] small busi	ness concern	[] nonpr	ofit organizat	ion	
resulting in earlier of the is no longer. I hereby distance the statements were made by fine or it that such versions.	dge the duty to file loss of entitlement in loss of entitlement in loss of entitlement in loss of entitlement in a superior and entitle with the knowled imprisonment, or by willful false statement any patent to white	t to small entity maintenance for C.F.R. § 1.28(b) tements made hon and belief are ge that willful footh, under Sectents may jeoparo	e status prior to be due after the b).) nerein of my believed to be alse statement ion 1001 of T dize the validit	o paying, or a date on whe own knowledge true; and futs and the lift itle 18 of the app	edge are tru rther that the ke so made te United Sta	of paying, s a small er ee and tha ese statem are punish ates Code;	, the ntity at all ents nable and
NAME OF	PERSON SIGNING PERSON OTHER TH	Karen L.	Deyerle,	Ph.D.		· · · · · · · · · · · · · · · · · · ·	
						 	
ADDRESS	OF PERSON SIGN	NG110./ N	I.E. 45th	Street,	Suite 20	00 <u>Seat</u> 98105	<u>tle</u> , WA -4631
SIGNATUR	RE	De on	Del		_ DATE	į.	4.

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	Patent
•	Attorney's Docket No.
Applicant or Pa	tentee:
Application or F	Patent No.:
Filed or Issued:	
VERIFIED S (37 C	STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS F.R. §§ 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN
I hereby declare	e that I am
\bowtie	the owner of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern identified below:
NAME OF CON	CERN Mitra Medical Technology AB
ADDRESS OF C	CONCERNIdeon Research Park, 223 70 LUND, SWEDEN
concern as defi paying reduced number of empl For purposes of average, over the time, or temporal affiliates of each	e that the above-identified small business concern qualifies as a small business ned in 13 C.F.R. § 121.12, and reproduced in 37 C.F.R. § 1.9(d), for purposes of fees under Sections 41(a) and 41(b) of Title 35, United States Code, in that the oyees of the concern, including those of its affiliates, does not exceed 500 persons. If this statement, (1) the number of employees of the business concern is the ne previous fiscal year of the concern, of the persons employed on a full-time, part-rary basis during each of the pay periods of the fiscal year, and (2) concerns are nother when either, directly or indirectly, one concern controls or has the power to er, or a third party or parties controls or has the power to control both.
business conce Trifunc	that rights under contract or law have been conveyed to and remain with the small relation above with regard to the invention entitled
[X]	the specification filed herewith Application No. PCT/SE98/01345 , filed 7 July 1998 Patent No. , issued .

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention is listed below,* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c), or by any concern that would not qualify as either a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27.)

•	•			Application Sel Attorney's Docl			
NAME	Office of Tec	chnology Tran	sfer				
ADDRESS _	University of	Washington,	1107 N.E.	45th Street,	Suite 200	Seattle,	
ADDITESS =	[] individual	[] small busin			organization		
NAME							
NAME			· · · · · · · · · · · · · · · · · · ·				
ADDRESS _	[] individual	[] small busin	ness concern	[] nonprofit	organization		
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ADDRESS C	erson signing erson other th of person signi Research	AN OWNER <u>1</u> NG <u>Mitra</u>	<u>Medical</u>	Technolog	C, CEO	· · · · · · · · · · · · · · · · · · ·	
SIGNATURE	Be	g/San	Dey	DA DA	TE 2 May 2	2000	



Declaration and Power of Attorney United States Patent Application

UNITED STATES (Form BDWY-1)
Patents and Design Patents
Sole & Joint Inventors

Convention & Non-convention

Convention & Non-convention

PCT & Non-PCT

This form cannot be amended, altered

or changed after it is signed.

(For use only for inventors who

understand the English language.)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

This functional reagent for conjugation to a biomolecule

Trifunctional reagent	for conjugation to a b	TOMOTECUTE	
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and the second s	S. Application No	on 6 March 20	od (if applicable)
was amended or			
	T International Application No.	on _	•
	ole) was amended under PCT Articles appointed below to insert information in		· ·
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I have reviewed and understand the control I acknowledge the duty to disclose inform	ents of the above-identified specification, nation which is material to patentability a	including the claims, as amended by a s defined in Title 37, Code of Federal	ny amendment referred to above. Regulations, §1.56.
I hereby claim foreign priority benefits u certificate, or §365(a) of any PCT intern Declaration. I have also identified below that of the application(s) on which priority	ational application which designated at le vany foreign application for patent or inv	ast one country other than the United S	states of America listed in this
Foreign/PCT Application No.	Country	Filing Date	Priority Claimed? (yes/no)
United States of America listed in this D States application or PCT international a disclose information which is material to	. United States Code, §120 or §365(c) or eclaration and, insofar as the subject man pplication in the manner provided by the patentability as defined in Title 37, Code or PCT international filing date of this ap	ter of each of the claims of this applica first paragraph of Title 35, United Stat e of Federal Regulations, §1.56 which	tion is not disclosed in the prior United es Code, §112, I acknowledge the dut
U.S. Application No.	Filing Date	Status (patented/p	ending/abandoned?)
PCT/SE98/01345	7 July 1998		
I hereby claim priority benefits under Ti	tle 35 United States Code §119(e) of any	U.S. provisional application(s) listed b	elow:
U.S. Provisional Application No.	Filing Date		
A. DeGrandi (17446), Robert G. Weilac	to prosecute this application and to transs her (20531), Richard G. Young (20628), Carolyn Favorito (39183), George A. M	Michael A. Makuch (32263), Dennis	C. Rodgers (32936), Thomas L. Evani
Send all correspondence to Beveridge, E sent to (202) 659-1462. Direct all telepl	DeGrandi, Weilacher & Young, L:L.P., S none calls to (202) 659-2811.	Suite 800, 1850 M Street, N.W., Washi	ington, D.C. 20036. Facsimiles may b
be true; and further that these statements	therein of my own knowledge are true as were made with the knowledge that will of the United States Code and that such vecon.	ful false statements and the like so mad	le are punishable by fine or imprisonm
Full name of sole or first invent	or: D. Scott Wilbur	Citizenshi	p: USA
Residence (city, state, country): Post office address:	•	JSA , EDMONDS, WA 98026, US	SA
Signature: A July	+ 1 - 11.	Date: 20 Apri	
Signature:	, wro	Date: 20 Hpt 1	
Full name of second joint invent Residence (city, state, country): Post office address:	for, if any:	Citizenshi	ip: '
	parata Daglamatian)	-	
Signed on ser	Jacale Declaration)	Date	

Declaration and Power of Attorney, United States Patent Application

UNITED STATES (Form BDWY-1)
Patents and Design Patents
Sole & Joint Inventors
Convention & Non-conventior
PCT & Non-PCT
This form cannot be amended, altered
or changed after it is signed.
(For use only for inventors who
understand the English language.)

BDWY 395

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

Additional inventors and/or prior applications are listed in attached Supplemental Sheet(s).

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Trifunctional reager	nt for conjugation to a l	oiomolecule	
(check one) is attached he			
	J.S. Application No	on 6 March 200	oo and (if applicable)
was amended			
	CT International Application No.	on	
	able) was amended under PCT Ar		·
(I authorize any attor	ney appointed below to insert information	in the preceding blanks.)	
	ntents of the above-identified specification ormation which is material to patentability		
certificate, or §365(a) of any PCT inte	s under Title 35, United States Code, §119 mational application which designated at low any foreign application for patent or in ority is claimed:	east one country other than the United St	ates of America listed in this
Foreign/PCT Application No.	Country	Filing Date	Priority Claimed? (yes/no)
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United States of America listed in this States application or PCT international disclose information which is material	35. United States Code, §120 or §365(c) Declaration and, insofar as the subject mal application in the manner provided by the to patentability as defined in Title 37, Cocal or PCT international filing date of this a	tter of each of the claims of this applicati first paragraph of Title 35, United State le of Federal Regulations, §1.56 which b	on is not disclosed in the prior United s Code, §112, I acknowledge the duty to
U.S. Application No.	Filing Date	Status (patented/pe	nding/abandoned?)
PCT/SE98/01345	7 July 1998		
I hereby claim priority benefits under	Title 35 United States Code §119(e) of an	U.S. provisional application(s) listed be	low:
U.S. Provisional Application No.	Filing Date		£
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A. DeGrandi (17446), Robert G. Weil	ys to prosecute this application and to trans lacher (20531), Richard G. Young (20628) 1), Carolyn Favorito (39183), George A. M	, Michael A. Makuch (32263), Dennis C	. Rodgers (32936), Thomas L. Evans
Send all correspondence to Beveridge, sent to (202) 659-1462. Direct all tele	DeGrandi, Weilacher & Young, L:L.P., ephone calls to (202) 659-2811.	Suite 800, 1850 M Street, N.W., Washin	gton, D.C. 20036. Facsimiles may be
be true; and further that these stateme	nde herein of my own knowledge are true a nts were made with the knowledge that wil 8 of the United States Code and that such ereon.	lful false statements and the like so made	are punishable by fine or imprisonment
Full name of sole or first inver- Residence (city, state, country, Post office address:		Citizenship	:
Signature: (Signed on se	parate Declaration)	Date:	
Full name of second joint inverse Residence (city, state, country, Post office address:): 245 62 HJÄRUP,		o: Sweden
Signature: Ben	Sanley	Date: 2 May 200	00